MAVERICK FINANCIAL STRATEGIES

CONNECTING YOU TO YOUR INVESTMENTS

YOU

Invest with confidence, knowing that multiple fiduciary entities are working toward protecting your assets

Maverick Financial Strategies (MFS)

Registered Representative (RR)

Provides financial guidance to their clients and is typically paid a commission when you purchase a financial product

Investment Advisor Representative (IAR)

Provides financial guidance to their clients and is generally paid a fee for either managing assets, giving advice, or both

RR's and IAR's are representatives of Cambridge as a BD and RIS respectively

Cambridge Investment Research, Inc. (CIR)

Regulated by FINRA

Broker-Dealer (BD)

Processes the commissionable business of the RR licensed with the firm and holds responsibility for regulatory compliance and adherence to securities laws

Regulated by SEC

Registered Investment Advisor (RIA)

Processes the fee-based business of IARs licensed with firm and holds responsibility for regulatory compliance and adherence to securities laws

Custodians

The firm that custodies your investment assets.
Common examples: Pershing LLC., Fidelity Custody & Clearing LLC., SEI Inc., and TD Ameritrade

Your Investments

Common Examples: Mutual funds, managed accounts, ETF's, annuities, stocks, bonds, etc

As independent financial professionals, MFS works with all of the above entities sharing the fiduciary responsibility to put the best interests of the client first. Fixed Insurance Services provided by multiple insurance company sponsors.

Securities offered through Registered Representatives of Cambridge Investment Research, a broker-dealer member of FINRA/SIPC. Advisory Services through Cambridge Investment Research Advisors, a Registered Investment Adviser. Cambridge and Maverick Financial Strategies are not affiliated the Reigistered Representatives and IARs are representatives of Cambridge (as a BD and RIA respectovely.